

**CONFLICT-OF-INTEREST CODE  
FOR THE  
CALIFORNIA TRANSIT SYSTEMS JOINT POWERS AUTHORITY  
(CALTIP)**

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission has adopted a regulation (2 California Code of Regulations Section 18730) that contains the terms of a standard conflict-of-interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict-of-interest code of the **California Transit Systems Joint Powers Authority (CaTIP)**.

Individuals holding designated positions shall file their statements of economic interests with **CaTIP**, which will make the statements available for public inspection and reproduction. (Gov. Code Sec. 81008.) Upon receipt of the statements, **CaTIP** shall make and retain copies and forward the originals to the **Fair Political Practices Commission**. All original statements will be retained by the **Fair Political Practices Commission**.

**California Transit Systems Joint Powers Authority (CALTIP)  
Appendix A to the Conflict-of-Interest Code**

**Designated Positions**

<u>Designated Position</u>	<u>Assigned Disclosure Category</u>
Claims Adjuster	1, 2, 3
Excess Insurance Broker	1, 2, 3
Legal Counsel	1, 2, 3
Consultants/New Positions	*

Note: Claims Adjuster, Excess Insurance Broker, General Manager, Assistant General Manager, Legal Counsel, and Investment Advisor are filled by outside consultants, but act in a staff capacity.

\*Consultants/new positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The General Manager may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to comply fully with the disclosure requirements described in this section. Such determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The General Manager's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Sec. 81008.)

The following positions are not covered by the code because they must file under Government Code Section 87200 and therefore, are listed for informational purposes only:

Members of the CALTIP Board of Directors  
Alternate Members of the CALTIP Board of Directors  
General Manager  
Deputy General Manager  
Investment Advisor

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Section 87200.

**California Transit Systems Joint Powers Authority (CALTIP)**  
**Appendix A to the Conflict-of-Interest Code**

**Disclosure Categories:**

1. Investments and business positions in business entities, and sources of income (including the receipt of loans, gifts, and travel payments), from sources of the type to contract with the CALTIP to supply goods, services, materials, supplies or leased space.
2. Investments and business positions in business entities, and income (including the receipt of loans, gifts, and travel payments), from contractors engaged in the performance of work or services of the type utilized by the CALTIP, including insurance companies, carriers, holding companies, underwriters, agents or accounting firms.

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3. Investments and business positions in business entities, and income (including the receipt of loans, gifts, and travel payments), from sources that have filed claims, or have claims pending, against the CALTIP or any of its members during the reporting period.

This is the last page of the conflict-of-interest code of the **California Transit Systems Joint Powers Authority**.



**CERTIFICATION OF FPPC APPROVAL**

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Pursuant to Government Code Section 87303, the conflict-of-interest code for the **California Transit Systems Joint Powers Authority** was approved on February 1, 2013. This code will become effective on March 3, 2013.

A handwritten signature in blue ink, appearing to read 'William J. Lenkeit', written over a horizontal line.

William J. Lenkeit  
Senior Counsel, Legal Division  
Fair Political Practices Commission